

Sub-THz Electron Spin Resonance spectroscopy based on a Martin-Puplett interferometer

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A 260-GHz electron spin resonance (ESR) spectrometer was developed using Martin-Puplett interferometry, quasi-optical isolation, beam focusing and electronic polarization control. Computer-aided design and polarization pathway simulation lead to the design of a mobile and compact system, featuring lateral dimensions less than a foot and high mechanical stability, with all components rated for power levels of several Watts. Benchmark results were obtained with ESR standards (BDPA, DPPH) using field modulation. Original high-field ESR of 4f electrons in Sm³⁺-doped Ceria was detected using frequency modulation. For the narrow line of BDPA, our quasioptically focusing sample holder with distinct combinations of field and modulation frequency reaches a signal-to-noise ratio of 35 dB, corresponding to a detection limit of about 10¹⁴ spins.

INTRODUCTION

Improving Electron Spin Resonance (ESR)[1] spectroscopy in order to explore for example the spin properties of novel oxide interfaces,[2–7] or of spin functional surfaces,[8–16] or to unravel details of the reactions taking place at the surface of catalysts[17–27] requires ever greater resolution and sensitivity. This implies the use of ever great applied fields, which require in turn sample irradiation frequencies in the sub-THz range.

While at frequencies of 150 GHz very sophisticated waveguides and cavities can still be designed,[28] the question remains at how to achieve proper excitation at frequencies beyond the highest r.f. frequencies (so-called *D* band). Lynch *et al.* [29] showed that sub-THz radiation — a drastic shift from the conventional r.f. technology to free space quasioptical treatment — could be used for high-field ESR ($B_0 = 9$ T, $f_{h\nu} = 250$ GHz) with *quasioptical technology*. [29–33]

In their analysis of sub-THz continuous wave (cw) ESR spectrometers[34, 35] which operated quasioptically and in reflection mode, Earle *et al.* [34] estimated for the high-field ESR sensitivity in terms of the minimum number of spins N_{\min}^{spins} that can be detected,

$$N_{\min}^{\text{spins}} \propto \frac{V_s T_s}{Q_L P_{h\nu}^{1/2}} \left(\frac{\Delta\omega_{pp}}{\omega_{h\nu}} \right) \quad (1)$$

where V_s and T_s are sample volume and temperature, Q_L the loaded quality factor, $P_{h\nu}$ and $\omega_{h\nu}$ denote the sub-THz irradiation power and frequency, and $\Delta\omega_{pp} = \gamma \Delta B_{pp}$ the peak width. While the sample itself, the quality factor and the temperature can easily be varied, the major challenge is to implement higher sub-THz irradiation frequency $\omega_{h\nu}$ and power $P_{h\nu}$.

Significant developments of high-field quasioptical ESR are ongoing,[32, 33, 36–40] where cw ESR has evolved

up to $f_{h\nu} = 750$ GHz[41] and free electron lasers (FEL) can provide highest irradiation power (several kW) in pulsed or quasi-cw high-field ESR.[42–45] However, these spectrometers are highly specialized and operate in one dedicated high-field environment,[41] and a very recent commercial system provides a high ESR sensitivity but narrow (0.2 T) field sweep range.[46] A *compact and mobile quasioptical* ESR spectrometer is desirable, which combines sub-THz frequency acceptance of *very broad range* and operates with *different radiation sources* and laboratory cryostats containing samples conditioned according to specific research requirements. Recently, Armstrong *et al.* [47] have addressed this challenge, proposing a Martin-Puplett (MP) interferometer[48] on a compact quasioptical bridge with sub-THz (200 GHz) input and analysis of the signal reflected from the paramagnetic sample. Using quasioptics for the lower *G* band (110–200 GHz), however, necessarily involves diffraction effects and standing waves in compact optical bridges, as the wavelength (~ 2 mm) is one tenth of typical optical aperture sizes.

Here, we give details about the design and demonstrate the operation of a very compact quasioptical MP spectrometer for high-field ESR which works as a mobile platform on top of a high-field 9.4 T cryostat and admits user-selectable sub-THz sources. As we are using the *high-frequency end* of the *G* band as irradiation for B_1 excitation, namely 245–285 GHz ($\lambda \leq 1.1$ mm), the advantages of optical focusing, lossless freespace transmission and diminished diffraction are feasible with a compact design — in contrast to bulky setups necessary for larger wavelength *W* band or even 150 GHz specrometers. Our system features the use of standardized holders,[49] and operation on a superconducting magnet or as a table-top device, which is particularly useful during the adjustment and characterization of the polarization changing components. Challenges of standing waves and background

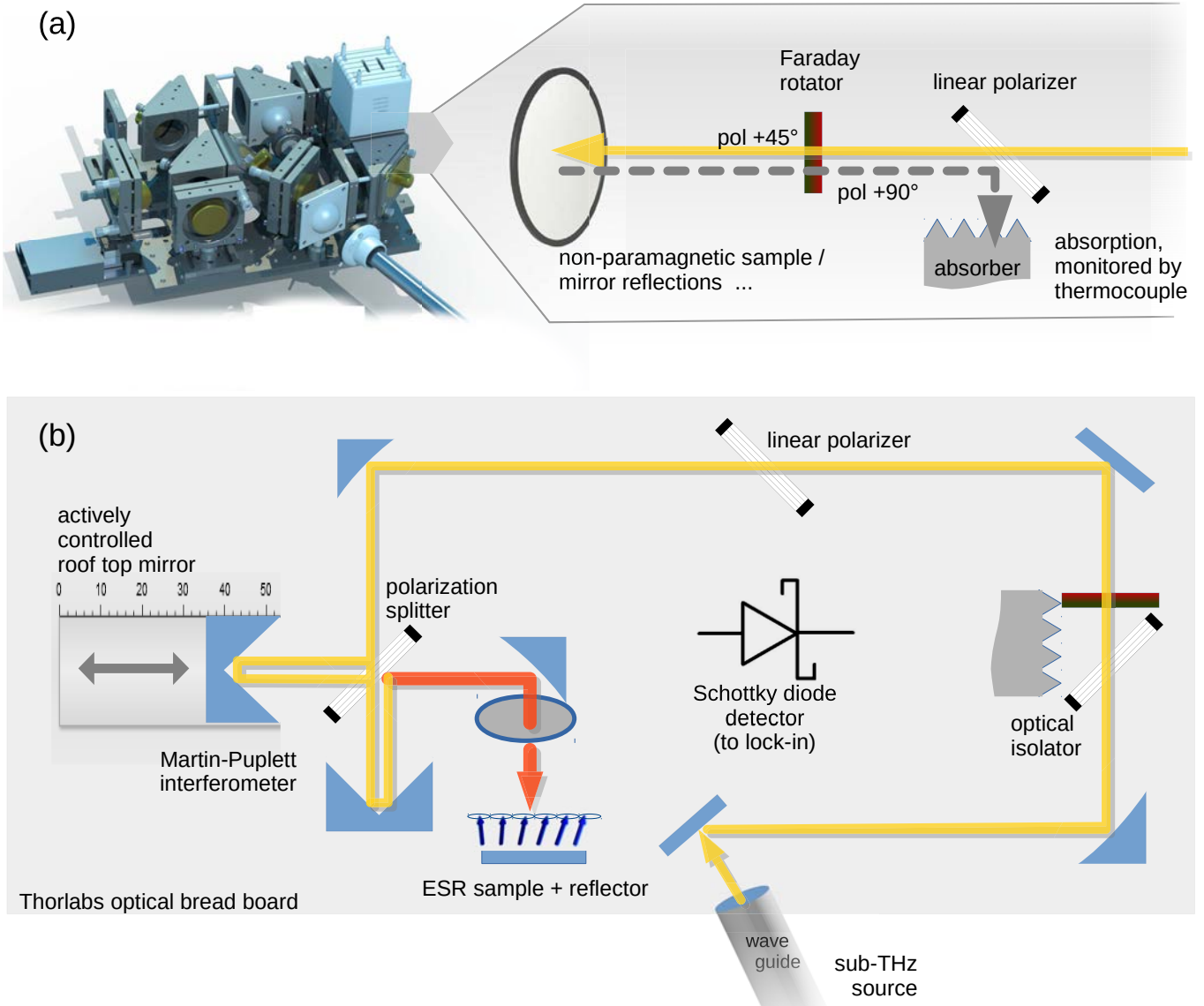


FIG. 1. Excitation pathway in the quasioptical MP spectrometer. (a) Metallic reflections (e.g. standing wave modes between surfaces, dashed grey) are deflected into an absorber by using the quasioptical isolation for 260 GHz. (b) Free space transmission (yellow) from the waveguide (sub-THz sources) along Au-coated elliptical mirrors, linear polarizers, and the MP interferometer. The ESR sample with reflection mirror is located below a vertical corrugated waveguide inside an Oxford high-field magnet with continuous He flow cryostat (orange beam).

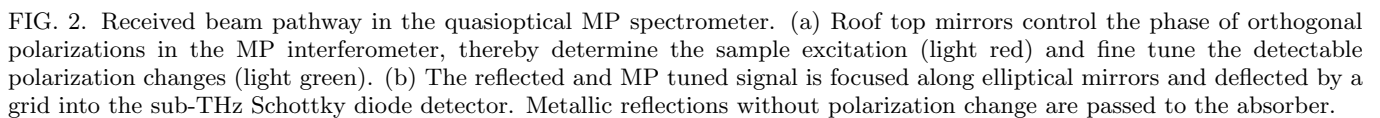
rejection were solved by the use of optical isolation[50] and MP interferometry,[47, 51] respectively.

We demonstrate the capabilities of the spectrometer with three experiments. First, the standard radical 2,2-diphenyl-1-picrylhydrazyl (DPPH) illustrates the gain in spectral resolution by direct comparison of X-band ESR with high-field 260 GHz ESR. Next, we present high-field ESR of doped Ceria powder, a material considered for catalysis (CO₂ reduction) and for solid oxide fuel cells (ionic conductivity). Here, Samarium sites in the CeO₂ lattice provide unpaired $4f$ spins. We distinguish $4f$ spins with different angular momenta in the very broad band spectral range 245–280 GHz using frequency modu-

lation of the sub-THz irradiation. Third, we demonstrate with 1,3-bisdiphenylene-2-phenylallyl (BDPA), a radical that has a narrow ESR line, that the proper combination of an optically focusing sample holder with field modulation at high frequencies provides a distinct optimum in signal-to-noise ratio (SNR).

QUASIOPTICAL 260 GHz MP SPECTROMETER

In order to take advantage of the enhanced resolution and sensitivity of high-field ESR at 260 GHz, the MP spectrometer has to transmit the B_1 field and detect the



Passive quasioptical components. The frequency tunable sub-THz radiation is provided by either a solid state source based on an yttrium-iron garnet oscillator[52] or a frequency tunable Gyrotron oscillator.[53] With a power loss of less than 5% per meter, this wave is transmitted through an overmoded waveguide (corrugated, stacked rings technology, Swissto12)[54] towards a position 8 cm before the mobile MP spectrometer board (Fig. 1). At this point, Gaussian beam propagation in free space takes place. Redirection and focusing of the quasioptical beam is achieved with gold-coated polished Cu mirrors. The

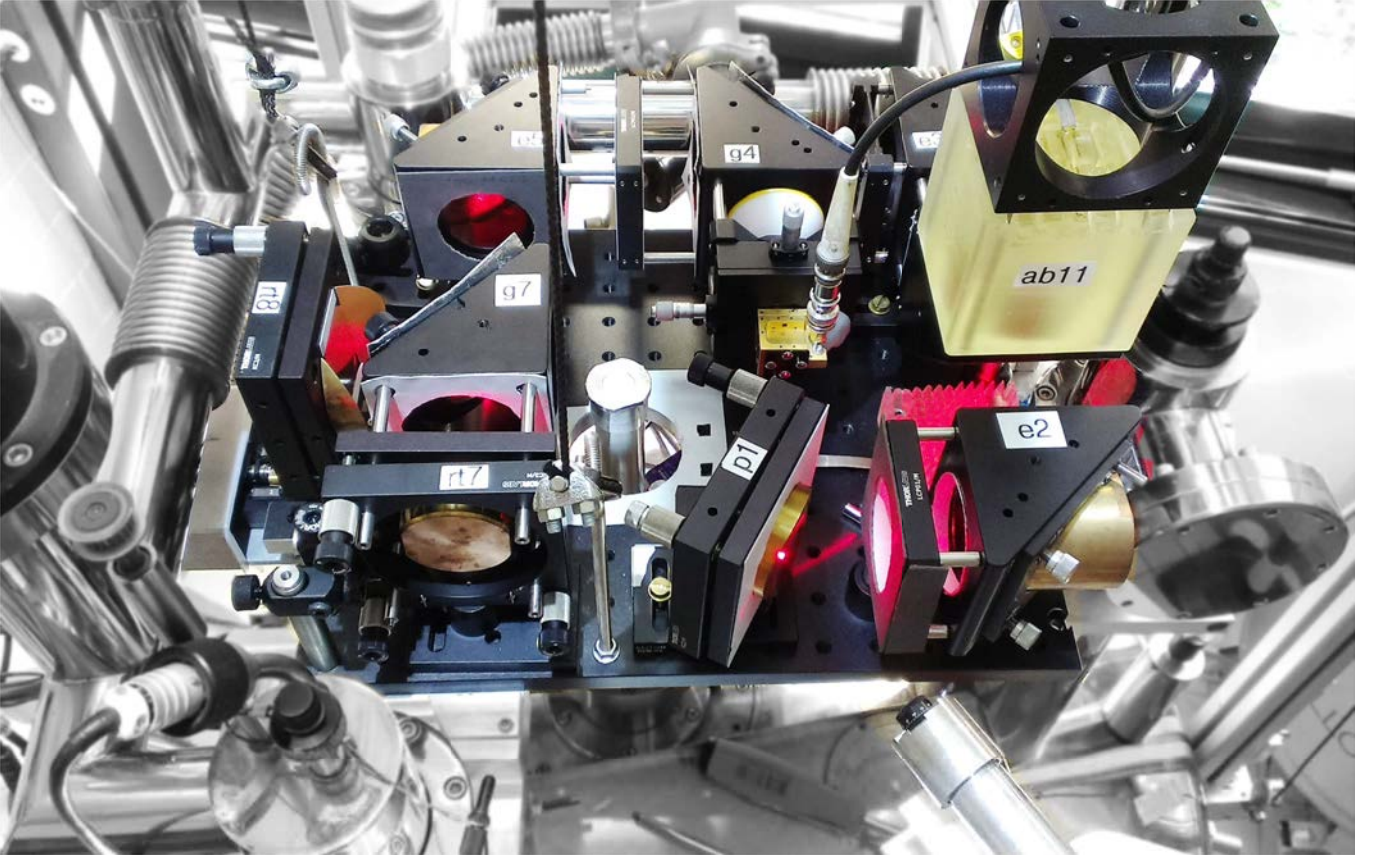


FIG. 3. Operating position of the mobile high-field quasi-optical MP spectrometer on top of the Oxford 9.4 Tesla superconducting magnet with He cryostat. A collimated laser beam ($\lambda = 640$ nm, red) from the center of the corrugated source waveguide is used to align all passive components (e.g. mirrors and grids) in the orthogonal spectrometer design. To see the vertical corrugated waveguide (white), the central Miter bend was removed for the photograph.

first two mirrors are custom designed with a 90° off-axis parabolic surface so that the quasi-optical beam is collimated and directed into the orthogonal system spanned by the edges of the board (Fig. 1). This allows us to use only $\pi/2$ reflections for which the foci can be controlled by elliptical mirrors. Two elliptical mirrors (curved in Fig. 1b) with focal length of 15 cm and 20 cm, respectively, keep the beam collimated. The collimated beam traverses two grids made of Au-coated W wire with 25 micron pitch,[55] which are at polar positions so as to transmit the incoming beam. When entering the Martin-Puplett interferometer (MPI), the sub-THz beam is polarized in a linear plane 45° off the vertical axis. The computer-controlled interferometer is described below, which controls the polarization and phase of the sub-THz radiation. A third 90° off-axis parabolic mirror directs the beam into the center of a vertical waveguide[54] which has the sample and modulation coil attached at its lower end. The sample holder comprises a focusing lens, a Helmholtz modulation coil and a plane reflection mirror which also serves to hold the sample (see Fig. 4 and the suppl. info.).

Active polarization control. Key to the function of a MPI is the control of the linear polarization. At the wire grid of the interferometer, the 45° off-axis linear polarized incident beam is split into the two interferometer arms equipped with roof top mirrors of vertical mirror axes. The two beams have orthogonal polarizations. After reflection by the roof top mirrors, the polarization is rotated by 90° so that the two reflected beams pass through the central grid. While the fixed roof top mirror reflects the incoming beam with constant phase, the position of the mobile roof top mirror controlled on a sub-micrometer scale with a computer-driven step motor allows the selection of a phase by setting a boundary condition for the incoming coherent light. The phase retardation determines the axial ratio of elliptical polarization of the recombined beam after the grid: within a displacement of $|\lambda/2|$, the polarization changes from linear to elliptical and circular.

Figure 2a illustrates how a user can control the polarization which is sent towards the sample (red beam). A fine tuning of the interferometer pathway offers to the user a means for selecting the phase and the slope of the polarization change for detection with better SNR

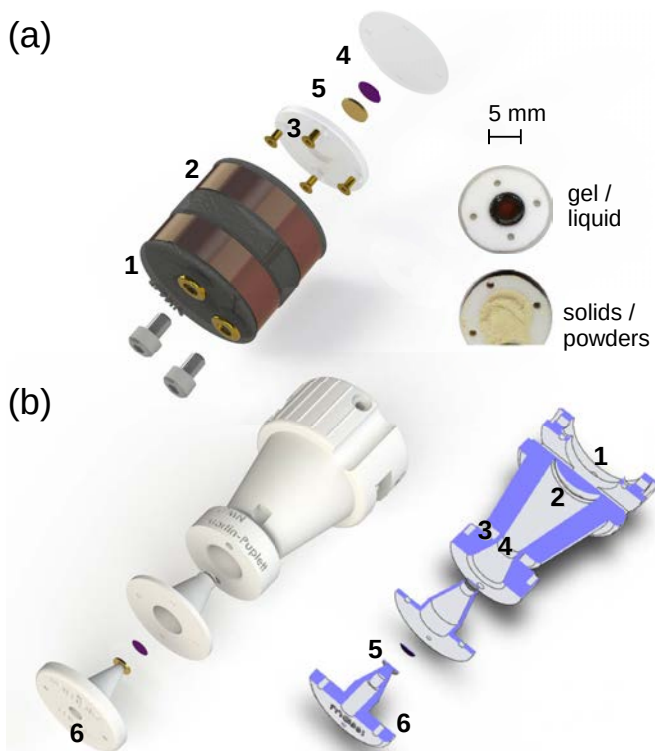


FIG. 4. Field modulation sample holders optimized for quasioptical ESR excitation and reflection. (a) Cryo-compatible oligomere resin (1) with Helmholtz coils (2), PTFE sample container (3), sample location (4) and Au reflector (5). (b) Room temperature sample holder with beam focusing and quick sample loading: threading for fixation to the waveguide (1), THz lens (2), solenoid coil (3), sample position (4), Au reflector (5) and sample position locking cone (6).

(green beam) — this is equivalent to phase selection using a frequency-locked detector known from conventional ESR. This phase and polarization control can be actively accomplished by the computer-controlled interferometer arm. Its precision is $0.5 \mu\text{m}$ or $1/2000$ the wave length of 260 GHz radiation, and the adjustment within one λ is accomplished in less than a second. While the phase is user-controlled before each ESR scan in this proof-of-principle study, developments are underway to use active computer control during acquisition, in order to account for wavelength phase changes in very broad scans or to compensate unwanted standing wave modes.

ESR detection and standing wave management. The signals returning from paramagnetic samples carry a rotated polarization which is the only component of the returning beam that is reflected by the wire grid to the detecting Schottky diode (Fig. 2a). However, a large fraction of the reflected beam does not carry information from the spins in resonance, as it was just reflected from a surface besides the sample position. These so-called standing waves (SW) can be orders of magnitude larger than the ESR signal and perturb the detection of smaller

resonance signals. First, SW can be diminished by careful adjustment of the incoming beam to the centers of every aperture and by optimal collimation of the Gaussian beam (Fig. 3), in order to avoid scattering and Fresnel diffraction. Second, since mirror reflections cause no change in circularity — in contrast to the paramagnetic sample — reflected waves that contain no ESR information can be deflected by optical isolation. The optical isolator is the equivalent to a circulator in r.f. technology or to a rectifying diode in electronics: the millimeter waves pass only in one direction (Fig. 1a).[50] In addition to acting as a diode, the optical isolator in our MP spectrometer also directs reflected waves (i.e. having the wrong \mathbf{k}) into a 3D printed absorber and thus eliminates these SW modes from the optical path. Further details on optical isolation and the quasioptical beam propagation is compiled in the supplemental material.[56] Third, few SW modes with polarizations comparable to the sample signal can still travel into the detector and overlay the ESR. We can, nevertheless, identify these SW by a phase scan, which is recorded by a computer controlled linear sweep of the mobile roof top mirror in the MPI, spanning at least one wavelength. Herein, SW modes can be easily identified, since they are independent on field modulation and exhibit maxima at different phases than the ESR signal.

MOBILITY AND VERSATILITY

In its standard operation, the MP spectrometer is installed on top of an Oxford 9.4 Tesla magnet with a variable temperature insert (Fig. 3). A key feature is its mobility: The compact quasi-optical bench can be lifted off and parked aside while other experiments are carried out with the magnet [56], thus seamlessly switching between high-field ESR and DNP-NMR experiments. Owing to its compactness and ruggedness, the MP spectrometer is also usable as a table-top device which can detect the magneto-optical properties of samples located in the central bore. It was used in this table-top-operation to characterize the polarization control of the interferometer, one part at a time.

Since the sub-THz source is located at the other end of the waveguide, it is easy to switch between two sources: Coherent radiation of (i) a solid state source with wide frequency range (245–280 GHz)[52] or (ii) a frequency tunable Gyrotron.[53, 56–58] Emission from the two sources was frequency-calibrated via quasioptical heterodyne detection (at 260 GHz, VDI) followed by an EIP Autohet frequency counter. The MP spectrometer is *broad band*, its only limitation is given by the Faraday rotators and the VDI Schottky diode detectors, whose performance drops beyond $\lambda_{260 \text{ GHz}} \pm \lambda/2$, i.e. below 180 GHz or above 400 GHz.

If polarization analysis is not required, the quasioptical

cal bridge can measure sample reflectivity, and the phase of reflected signals with $1\text{ }\mu\text{m}$ precision. Lastly, the MP spectrometer measures the power of the sub-THz source. It is advantageous to use the MP bridge for power measurements, because the fraction directed into the Schottky diode detector can be chosen by the active interferometer, and the VDI Schottky diode permits response linear in power from 10^{-9} W up to 10^{-2} W , where the latter corresponds to a maximal input power of $\sim 1\text{ W}$ entering the quasioptical MP spectrometer at the end of source waveguide (Figs. 1–3).

HIGH-FIELD QUASIOPTICAL ESR — PERFORMANCE TESTS

DPPH — an organic ESR standard. The organic chemical compound DPPH is a dark-blue stable free-radical, which provides one unpaired electron in the central nitrogen ion of every molecule, and is established to benchmark the position and intensity of ESR setups.[59] Recent studies tested this radical also as a polarizing agent for DNP-NMR,[60] and as quality indicator for southamerican coffee.[61] We conducted the high-field MP ESR at around 260 GHz with a broad band solid state source[52] using an output power of 80 mW.

Results of the DPPH electron spin resonance experiments are compiled in Fig. 5. In order to compare the benefit of the increased magnetic Zeeman splitting of high-field ESR ($B_0 = 9.28\text{ T}$) with conventional X band ESR ($B_0 = 0.3\text{ T}$), panel (a) shows a field scan recorded at a frequency of 9.4 GHz while panel (b) depicts a high-field frequency scan of the same DPPH sample. Not only do we observe a sub-structure within the envelope of the well-known X band scan, but the sensitivity is large enough to uncover more spectral features away from the main resonance. Five main peaks are hyperfine splittings (equidistant with 63 MHz) of the unpaired resonating electron (N-N^\bullet) with the two equivalent ^{14}N nuclei of $I = 1$. [59, 60] We interpret the sub-structure (peak-to-peak distance 109 MHz) extending further apart from the dominant five peak structure as the hyperfine split contributions from g -tensor components different from g_z , [62, 63] which become resolvable thanks to the 29 times larger B_0 field compared to X band ESR. Using the EasySpin simulation package, [64, 65] we could model the hyperfine split DPPH powder spectrum recorded at 9.28 T and determine three g -tensor components with individual hyperfine energies (Fig. 5c). Principal axes of \mathbf{g} and \mathbf{A} tensors were set parallel and g anisotropy was found to be larger than in X band ESR references of DPPH. [66, 67] Hereby, the simulated peak positions (blue) are convoluted with the interferometer amplitude response as a function of irradiation frequency (grey, in background) and with the natural width of the DPPH lines, yielding the spectrum (red). In summary, DPPH

serves here as benchmark for the increased spectral frequency resolution and calibrates the MP spectrometer in the frequency domain.

Sm-doped Ceria — catalyst and ionic conductor. In a second step, we explore a functional oxide, CeO_2 micropowder, which is useful for various types of catalysis [18, 68] such as CO_2 reduction, [21, 25] Solid Oxide Fuel Cells [20, 69] and which even protects biologicals cells. [19] Ionic conductivity in Ceria requires oxygen vacancies or cationic sites with lower oxidation than Ce^{4+} from the native lattice are required such as rare earth substitution with valency 3+. In order to test the ability of our MP

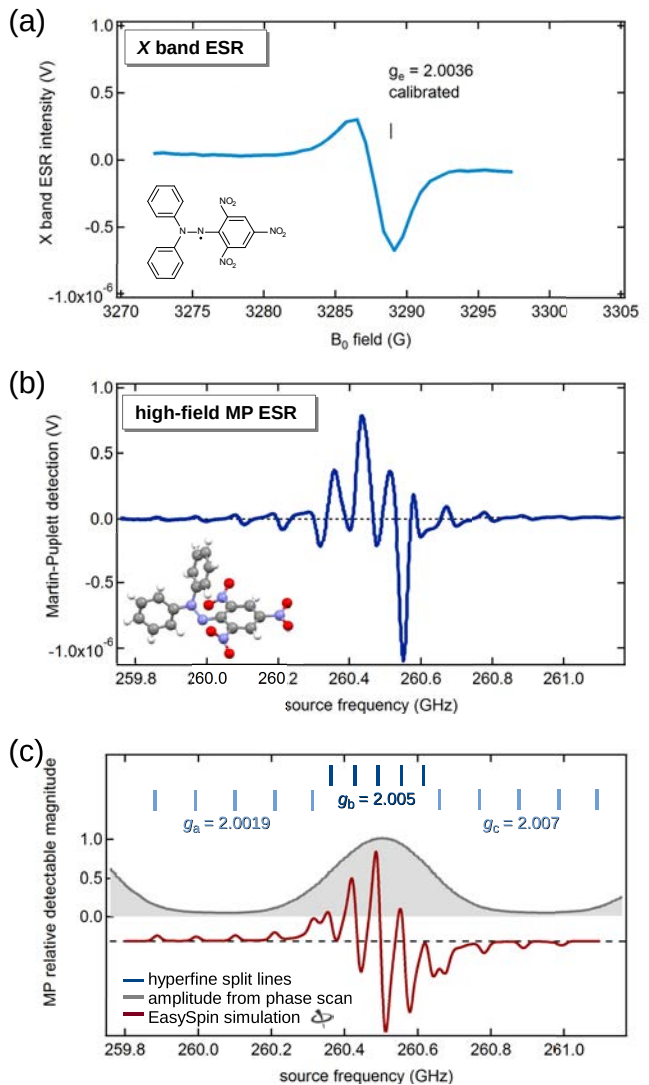


FIG. 5. ESR spectra of the stable organic radical 2,2-diphenyl-1-picrylhydrazyl (DPPH) solid micropowder taken at RT. X band ESR (a) is compared with high-field ESR using the quasioptical MP spectrometer (b). Both measurements use the identical sample of solid DPPH with field modulation at RT, and 500 ms integration time. The hyperfine split peaks are identified and modeled in (c).

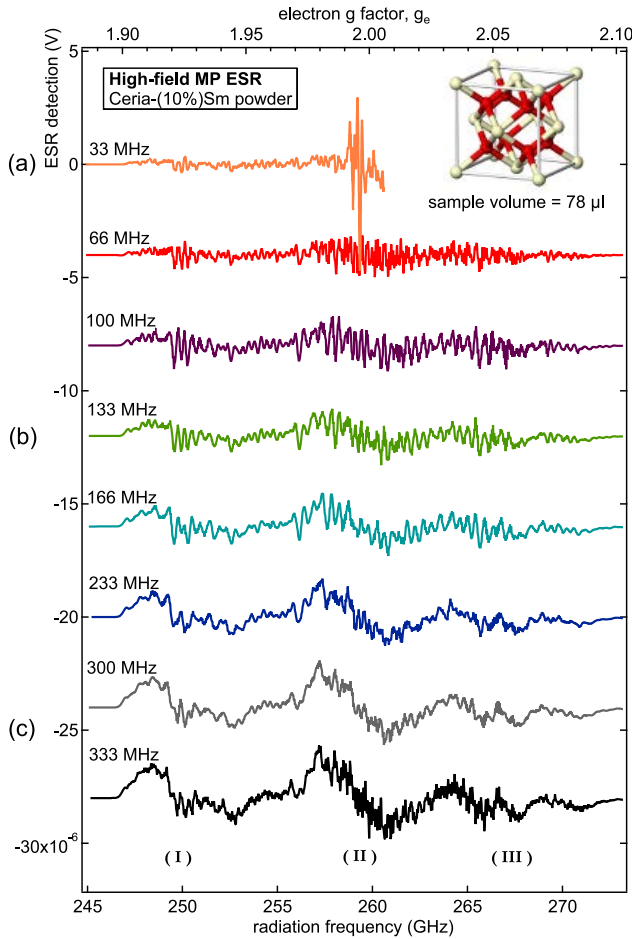


FIG. 6. Frequency modulation high-field ESR spectra of Sm(10%)-doped Ceria micropowder, taken at RT using 500 ms integration time. Region (a) uses smallest frequency modulation of the quasi-optical irradiation, and regions (b) to (c) show the spectra with increasing and maximal frequency modulation width. Three groups of ESR peaks are indicated in roman numbers.

spectrometer to detect the presence of various radicals with g near 2, we investigated Samarium-doped Ceria powder ($\text{Sm}_\alpha \text{Ce}_{1-\alpha} \text{O}_2$, $\alpha = 10\%$).

Figure 6 compiles the high-field quasi-optical ESR study of Sm:CeO₂ at room temperature. In contrast to the sharp electronic spin resonance of e.g. DPPH ($2p$ electron), the resonance is very broad, it originates from Sm^{3+} . A characteristic of the unpaired $4f$ electron is the broadly distributed and feature rich ESR spectrum (Fig. 6). Only at the smallest modulation width ($\Delta f_{\text{h}\nu} \leq 33$ MHz), a narrow resonance is observable at $g = 1.995$, which can be ascribed to oxygen vacancies in the Ceria lattice.[22, 23, 70] The weaker and broad resonances from the $4f$ unpaired electrons are observable only if frequency modulation is used with the maximum available breadth of frequency modulation ($\Delta f_{\text{h}\nu} \xrightarrow{\text{max}} 350$ MHz).[52] The distribution of the spectral features might be explained

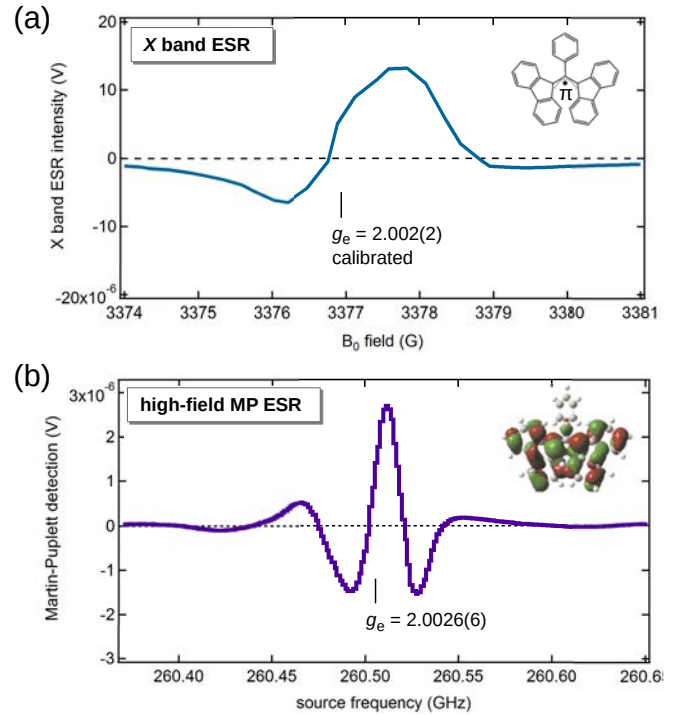


FIG. 7. X band (a) and high-field 260 GHz ESR spectra (b) of the same stable organic radical 1,3-bisdiphenylene-2-phenylallyl in polystyrene matrix (BDPA). Measurements are taken at RT using B_0 field modulation, and 500 ms integration time.

by strong spin-orbit coupling in $4f$ electrons. We identify three major regions: a central peak at $f = 259.5$ GHz and two regions comprising several peaks of less magnitude from 245–252 GHz and 265–268 GHz. This corresponds to g -factors of the Sm^{3+} sites ranging from 1.9 to 2.06, and indeed literature reports broadly distributed theoretical expectations of g -factors from Sm ions.[26, 70, 71]. Further work is ongoing to characterize the radicals in this functional oxide.

In summary, the study of Sm-doped Ceria powder successfully demonstrates the flexibility of high-field quasi-optical MP detection using *frequency modulation* in order to detect weak and very broad electron spin resonances as well as narrow O^\bullet lines.

BDPA — narrow high-field ESR standard and DNP-NMR. To test our setup further, we examined the radical BDPA, in view of its interest in DNP-NMR.[60, 72, 73] The stable free radical BDPA has an unpaired carbon π electron that delocalizes over the radical backbone in the singly occupied molecular orbital (inset in Fig. 7a). The high-field quasi-optical ESR study (Fig. 7b) shows the BDPA signal to be narrow (HWF_M \approx 15 MHz) and of moderate intensity compared with the prominent signal of DPPH (comparable spins in Fig. 5). Comparing X band ESR (a) with high-field 260 GHz ESR (b) of

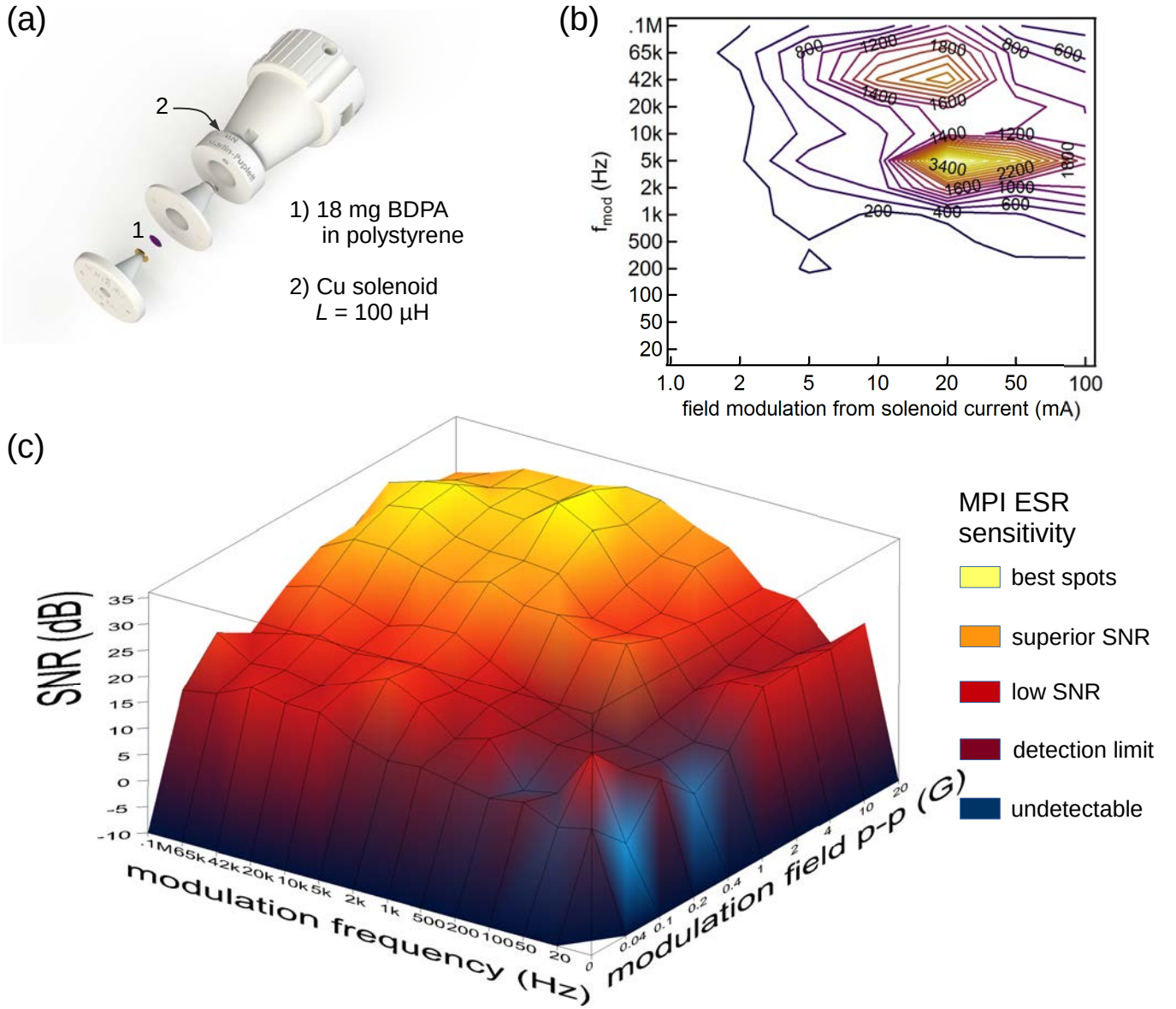


FIG. 8. Quasi-optical MP ESR spectrometry with BDPA loaded into the focusing quick load sample holder (a) and 70 mW coherent sub-THz irradiation. The figure of merit displays the possible regions of the quasi-optical ESR detection as a function of the field modulation (b). The full analysis of the detectable SNR for the B_0 field modulation is mapped vs frequency and modulation amplitude in (c).

the same BDPA, we find and increase of spectral resolution of a factor 10, this is however limited by the natural width of the BDPA resonance. Deconvolution reveals two spectral features separated by 44 MHz, which agrees well with g -tensor anisotropy of the BDPA molecule.[74]

In summary, the stable radical BDPA showed two well-distinguishable sharp spectral peaks in the high-field ESR at RT. Such exact knowledge of the electronic resonance frequency is of immediate use for DNP-NMR, since dynamic nuclear polarization through Overhauser or Solid effects requires narrow line radicals and a very precise knowledge of their g -factors.[60, 74]

Since the peak characteristics of BDPA exhibit one single feature and narrow peak width, this system is perfectly suitable for testing the achievable spin sensitivity of the quasi-optical MP ESR spectrometer.

Optimal operation parameters using quasi-optical focusing and field modulation. BDPA in polystyrene matrix is used to explore the operating conditions of the new high-field quasi-optical MP ESR spectrometer equipped with the 3D laser-melted beam focusing sample stage (Fig. 4b). Here we search for the optimum conditions for the frequency and the amplitude of the field modulation using a low-inductance solenoid, which is integrated

with the focusing sample stage.

Figure 8 shows the operation range in which high-field ESR detection is possible: blue areas provide thermal noise, whereas dark red regions ($f^{\text{mod}} \geq 20$ Hz; $B_0^{\text{mod}} \geq 0.04$ G) render ESR detection possible with $1 \leq \text{SNR} \leq 10$. Except for a clear valley of SNR at modulation frequencies of 50–100 Hz, the system has a very broad operability using the quasioptical sample stage thanks to a low-inductance modulation coil (Fig. 8a).

We identified two *sensitivity* maxima (Fig. 8b): First, at modulation amplitudes of 4–10 G and frequencies ~ 5 kHz, a maximal SNR of 3600 can be reached. Second, a modulation speed to 42 kHz leads to a second maximum of $\text{SNR} \approx 2400$. Beyond this speed, inductive reactance is limiting field modulation, and the SNR does not increase further. A performance analysis of the field modulation is given in the supplemental information.[56] Since the sample contained 18 mg of BDPA, by extrapolating the SNR from its optimum value to a value of one we find that our MP spectrometer can in principle detect a number of spins of

$$N_{\text{min}}^{\text{spins}} = (1.0 \pm 0.5) \times 10^{14}. \quad (2)$$

We recall that our setup uses direct reflection and does not use any resonator.

The highest *spectral resolution* is achieved if $B_0^{\text{mod}} \leq 0.04$ G (FWFM ≈ 15 MHz) at which the natural width of the BDPA spectral feature is asymptotically reached.[56]

SUMMARY AND OUTLOOK

We demonstrated the successful implementation of a fully functional high-field quasioptical ESR spectrometer with selectable sub-THz sources.

Key features which contribute to a better signal-to-noise ratio and sensitivity comprise the actively controlled MP interferometer, the passive optical isolation against standing wave modes, and the optically focused free space wave propagation within the ESR spectrometer. Care was taken to design the ESR spectrometer so that it is mechanically stable and reproducible by using microprecision standard parts.[49] The spectrometer features mobility by a crane system and versatility by the use as table-top system (see supporting information and video[56]).

First high-field quasioptical ESR results include the standard radical DPPH (resonance from $(N-N)^{\bullet}$), Sm-doped Ceria powder (resonance from $4f^{\bullet}$), and BDPA (resonance from C^{\bullet}), all of which reveal spectral substructures invisible at conventional X band ESR. By combing a quasioptically focusing sample stage with B field modulation at high frequencies we reached SNRs up to 3600 using a BDPA sample with $\sim 10^{18}$ spins.

From the BDPA studies, we conclude that a detection sensitivity of at least 10^{14} spins is feasible using the

$P = 80$ mW solid state source, which is encouraging for obtaining paramagnetic resonance signals of oxide surfaces. Thanks to the design of high radiation power acceptance (several watts), the presented quasioptical ESR spectrometer will ultimately permit the in-line use with the DNP-NMR experiment driven by frequency tunable Gyrotron radiation.

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